

06/10104

2184/\$

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/751,519	
	Filing Date	December 29, 2000	
	First Named Inventor	Min Zhu	
	Art Unit	2184	
	Examiner Name	Michael C. Maskulinski	
Total Number of Pages in This Submission	8	Attorney Docket Number	24333/81401

RECEIVED

JUN 14 2004

Technology Center 2100

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	PTO-1449 form listing 53 references
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Philip W. Woo, Reg. No. 39,880
Signature	
Date	June 9, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Express Mail Label No.: EV 305 258 395 US		
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Atty. Docket No.: 24333/81401

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JUN 14 2004

Technology Center 2100

In re application of: Min Zhu et al.
Title: Fault-Tolerant Server For Collaborative Computing
Application No.: 09/751,519
Filing Date: December 29, 2000
Examiner: Michael C. Maskulinski
Group Art Unit:: 2184
Confirmation No.: 8828
Law Office: Sidley Austin Brown & Wood LLP
Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call the following documents (copies enclosed) to the attention of the Examiner for the above-identified patent application.

A form PTO-1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made (other than as indicated by any listed document); or

06/14/2004 CCHAU1 00000096 501597 09751519

01 FC:1806 180.00 DA

SF1 1395023v1

3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

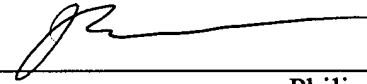
This information disclosure statement is submitted under 37 C.F.R. § 1.97(c)(2). Please charge Deposit Account No. 50-1597 in the amount of \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1597. This paper is being submitted in duplicate to facilitate Deposit Account payment.

EXPRESS MAIL LABEL NO.:

Respectfully submitted,

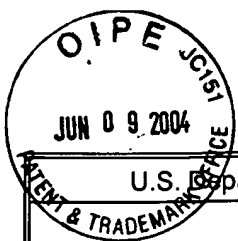
EV 305 258 395 US

By:



Philip W. Woo
Attorney of Record
Registration No. 39,880
PWW/rp

June 9, 2004
SIDLEY AUSTIN BROWN & WOOD LLP
555 California Street, Suite 5000
San Francisco, CA 94104-1715



U.S. Department of Commerce, Patent and Trademark Office		Atty Docket No.	Application No.
		24333/81401	09/751,519
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicants: Min Zhu and Bin Zhao	
(Use several sheets if necessary)		Filing Date	Group
		12/29/2000	2184

U.S. Patent Documents

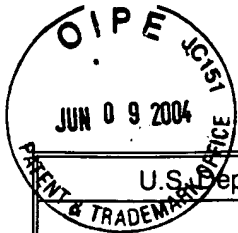
*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
✓	1. US2003/0167293	Sep. 4, 2003	Zhu et al.			
	2. US2003/0167304	Sep. 4, 2003	Zhu et al.			
	3. US2003/0167418	Sep. 4, 2003	Zhu et al.			
	4. 5,176,520	Jan. 5, 1993	Hamilton			
	5. 5,293,619	Mar. 8, 1994	Dean			
	6. 5,557,798	Sep. 17, 1996	Skeen et al.			
	7. 5,608,872	Mar. 4, 1997	Schwartz et al.			
	8. 5,649,104	Jul. 15, 1997	Carleton et al.			
	9. 5,761,419	Jun. 2, 1998	Schwartz et al.			
	10. 5,764,901	Jun. 9, 1998	Skarbo et al.			
	11. 5,781,727	Jul. 14, 1998	Carleton et al.			
	12. 5,805,846	Sep. 8, 1998	Nakajima et al.			
	13. 5,841,980	Nov. 24, 1998	Waters et al.			
	14. 5,872,923	Feb. 16, 1999	Schwartz et al.			
	15. 5,892,509	Apr. 6, 1999	Jakobs et al.			
	16. 5,907,324	May 25, 1999	Larson et al.			
	17. 5,918,239	Jun. 29, 1999	Allen et al.			
	18. 5,923,844	Jul. 13, 1999	Pommier et al.			
	19. 5,940,082	Aug. 17, 1999	Brinegar et al.			
	20. 5,944,785	Aug. 31, 1999	Pommier et al.			
	21. 5,948,022	Sep. 7, 1999	Carleton et al.			
	22. 5,974,446	Oct. 26, 1999	Sonnenreich et al.			
	23. 5,983,263	Nov. 9, 1999	Rothrock et al.			
	24. 6,047,314	Apr. 4, 2000	Pommier at al.			
	25. 6,055,574	Apr. 25, 2000	Smorodinsky et al.			
	26. 6,058,490	May 2, 2000	Allen et al.			

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

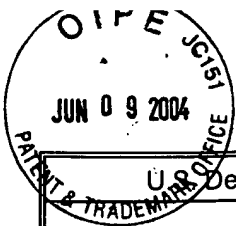
Express Mail Label No.: EV 305 258 395 US



U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Application No.	
				24333/81401		09/751,519	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicants: Min Zhu and Bin Zhao			
(Use several sheets if necessary)				Filing Date		Group	
				12/29/2000		2184	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	27.	6,061,717	May 9, 2000	Carleton et al.			
	28.	6,167,432	Dec. 26, 2000	Jiang			
	29.	6,173,311	Jan. 9, 2001	Hassett et al.			
	30.	6,182,085	Jan. 30, 2001	Eichstaedt et al.			
	31.	6,195,685	Feb. 27, 2001	Mukherjee et al.			
	32.	6,233,565	May 15, 2001	Lewis et al.			
	33.	6,246,444	Jun. 12, 2001	Kim			
	34.	6,263,433	Jul. 17, 2001	Robinson et al.			
	35.	6,289,385	Sep. 11, 2001	Whipple et al.			
	36.	6,292,204	Sep. 18, 2001	Carleton et al.			
	37.	6,308,887	Oct. 30, 2001	Korman et al.			
	38.	6,314,425	Nov. 6, 2001	Serbinis et al.			
	39.	6,314,555	Nov. 6, 2001	Ndumu et al.			
	40.	6,321,252	Nov. 20, 2001	Bhola et al.			
	41.	6,343,313	Jan. 29, 2002	Salesky et al.			
	42.	6,381,637	Apr. 30, 2002	Kamada			
	43.	6,397,191	May 28, 2002	Notani et al.			
	44.	6,499,026	Dec. 24, 2002	Rivette et al.			
	45.	6,539,406	Mar. 25, 2003	Ibarra et al.			
✓	46.	6,567,813 ✓	May 20, 2003	Zhu et al.			
	47.	6,570,590	May 27, 2003	Dubrow et al.			
	48.	6,574,674	Jun. 3, 2003	May et al.			
	49.	6,584,466	Jun. 24, 2003	Serbinis et al.			
	50.	6,611,586	Aug. 26, 2003	Ibezim et al.			
Examiner:				Date Considered:			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

RECEIVED
JUN 14 2004
Technology Center 2100

Express Mail Label N .: EV 305 258 395 US



U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Application No.	
				24333/81401		09/751,519	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicants: Min Zhu and Bin Zhao			
(Use several sheets if necessary)				Filing Date		Group	
				12/29/2000		2184	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	51.	6,687,846	Feb. 3, 2004	Adrangi et al.			
	52.	6,731,625	May 4, 2004	Eastep et al.			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	53.	Derfler et al., "How Networks Work," <i>September 2000</i> , Que Corporation, Millennium Edition, pages 205-209.					
Examiner:				Date Considered:			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

Express Mail Label No.: EV 305 258 395 US

RECEIVED

JUN 14 2004

Technology Center 2100